

FORM PTO-1449 (Modified)

ATTY DOCKET NO.  
ENR100/4-15

SERIAL NO.

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

APPLICANT  
Louise J. Kitchen et al.

FILING DATE

GROUP

JC986 U.S. PTO

09/895281

06/29/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HTD	AA	4	6	7	4	0	4	4	06/16/87	Kalmus et al.	364	408	
	AB	4	7	3	9	4	7	8	04/19/88	Roberts et al.	364	408	
	AC	4	7	5	1	6	4	0	06/14/88	Lucas et al.	364	408	
	AD	5	0	7	7	6	6	5	12/31/91	Silverman et al.	364	408	
	AE	5	7	2	4	5	2	4	03/03/98	Hunt et al.	395	237	
	AJ	5	7	9	4	2	0	7	08/11/98	Walker et al.	705	23	
	AJ	5	8	4	5	2	6	5	12/01/98	Woolston et al.	705	37	
	AF	5	9	5	0	1	7	8	09/07/99	Borgato	705	37	
	AG	5	9	6	0	4	1	1	09/28/99	Hartman et al.	705	26	
	AH	5	9	7	0	4	7	9	10/19/99	Shepherd	705	37	
	AI	6	0	2	9	1	4	6	02/22/00	Hawkins et al.	705	35	
✓	AJ	6	0	5	8	3	7	9	05/02/00	Odom et al.	705	37	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION?	
													YES	NO
	AL													
	A M													
	AN													

## OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

	AR	In re Application of Wait - 28 U.S.P.Q. 88 (C.C.P.A 1934)
	AS	
	AT	

EXAMINER

HTDass

DATE CONSIDERED

3/15/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Best Available Copy

U.S. Express Mail Label No. EL686097760US



RECEIVED

JAN 14 2002

Technology Center 2100

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/895,281
		Filing Date	06/29/2001
		First Named Inventor	Louise J. Kitchen
		Art Unit	2161
		Examiner Name	
Sheet 1	of 2	Attorney Docket Number	ENR100/4-15

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
H7D		us- 5873069	02-16-1999	Reuhl et al.	
		us- 5835896	11-10-1998	Fisher et al.	
		us- 5794219	08-11-1998	Brown	
		us- 5794207	08-11-1998	Walker et al.	
		us- 5727165	03-10-1998	Ordish et al.	
		us- 4992940	02-12-1991	Dworkin	
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			
		us-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	H7D am	Date Considered	3/15/05
-----------------------	--------	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

730642\_1.PDF



RECEIVED

JAN 14 2002

Technology Center 2100

PTO/SB/088 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/895,281
		Filing Date	06/29/2001
		First Named Inventor	Louise J. Kitchen
		Group Art Unit	2161
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	ENR100/4-15

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
HTD		In re Application of Wait - 24 U.S.P.Q. 88 (C.C.P.A. 1934).	
↓		"Altra to acquire QuickTrade electronic trade system", Megawatt Daily, Vol. 4, Issue 10, 01/15/1999.	
↓		McKinnon, "Atra, QuickTrade merger to boost market penetration", Financial Post, 01/15/1999.	

Examiner Signature	H. T. Dan	Date Considered	3/15/05
--------------------	-----------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.